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PATENT

Paper No.

File: Newmrkt-P1-98

Date: August 28, 2001

Signed: [Signature]
Peter K. Trzyna (Reg. No. 32,601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

Inventor : Anthony F. Herbst and Wayne F. Perg
Serial No. : 09/197,908
Filed : November 23, 1998
For : DIGITAL COMPUTER SYSTEM AND METHODS FOR
MANAGING AN AUCTION MARKET FOR PREFERRED-
RETURN SECURITIES
Group Art Unit : 2761
Examiner : Retta Yehdega

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

S I R :

Please enter the following enclosed documents in the above-identified patent application.

1. Request for Continued Examination (RCE) Transmittal;
2. Declaration of Philip A. Horvath;
3. Declaration of Nimish Gandhi;
4. Petition for Extension of Time;
5. Great Lakes Chemical Corporation, Plaintiff, v. Monsanto Company and

Sweet Technologies, Inc., Defendants;

6. FCC Document, Preferred Return;
7. Financial Instrument from Lexis/Nexis; and
8. US Court of Appeals, Henkels & McCoy, Inc. v. Robert Adochio et al.

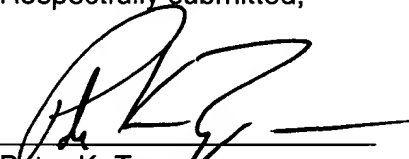
The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date:

August 28, 2001


Peter K. Trzyna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131

(312) 240-0824